



## **UOB eALERTS! APPLICATION FORM**

1	6.			usii	ess I	Name																												
-	Busi	nes	Reg	gistr	atior	No.																												
(	Cont	tact	Pers	on																														
[	Desi	gna	tion																															
		oile I			u and	situ oo doo	for a			h o u s \								Em	nail A	ddre	ess													
(	pieas	se inc	uae c	ounti	y and	city codes	tor ov	rerseas	numi	bers)																								
σı	nati	ad /	١٠٠٠	unt	for	Fees a	nd C	`har	205																									
						ount for				icable	e fe	es ar	nd ch	narge	es for	Cash	h Alerts	and/o	or Tra	ade A	\lert	s)												
1	Desi	igna	ted /	Acco	unt	No.								Curi	ency																			
[													] [																					
				_			_				_																							
						ank to o				nd c	harg	ges r	elati	ing t	o my,	our/	applica	ation a	and/d	or us	e of	UOI	3 eA	lerts	Ser	vice	fron	n the	e de	sign	ated	daco	coun	it
						ete this												iv Δ)																
ć	a. No	otifi				ete this							unts	, ple					ount	No.												Cui	rren	су
ć	a. No	otifi	catio										unts	, ple	ease u				ount	No.	_				-				_			Cui	rren	су
ć	a. No	otifi	catio	on A									unts	, ple	ease u				ount	No.	_				-				_			Cui	rren	су
ć	a. No	otifi	catio	on A									unts	, ple	ease u				ount	No.					-				-			Cui	rren	су
ć	a. No	otifi	catio	on A									unts	, ple	ease u				Dunt	No.	-				-				-   -   -			Cuil	rren	су
ć	a. No	otifi	catio	on A									unts	, ple	ease u				Dunt	No.	-				- - -				-   -   -			Cui	rren	СУ
	Accc	otifi	No.			int (To a							unts	, ple	ease u				bunt	No.	-				-				-			Cui	rrend	СУ
	a. Ne	otifi	No.		Alert	int (To a		nore					unts	, ple	ease u				Dunt	No.	-				-				-			Cui	rrene	СУ
	D. Ty	otifi ount ype (Pl	No.	on A	Alert whee	rs ere appl	iicabl	e)	notif	ficati		acco	unts.	, ple	ency	se A	Append	Acco							-				-   -   -			Cui	rrend	СУ
	DO. TY	otificount  //pe //pe //Pl No otifi	No.	on A	Alert who f my	ere appl	iicabl	e) e (AB	L)	r. Def		acco	unts.	, ple	ency	se A	Append	Acco	time	e slot					-				-			Cui	rren	СУ
	DO. TY	otifi  punt  y/pe  (Pl  No otifi  Op	No.	tickme con o	Alert who	rs ere apple / A/C ban (Select	icabl	e) e (AB	L) only	r. Def	ion a	t is C	unts  (    [    [    [    [    [    [    [	, ple	ency ency Wee	se A	Append	According to the formal of the	time	e slot		,			-				-					
	DO. TY	otifi  punt  //pe  //pe  //Pi  No  otifi  Op  Da	No.  Of Caease  tify:  cation  y:	tickme con o	Alert when the state of my option ally	is ere appl / A/C ba (Default is yday inc	iicabl	e) e (AB	L) only for	r. Det	fault	t is C	unts (   [   [   [   ]   ]   ]   [   ]   [   ]   [   ]   ]	, ple Curr	ency ency - Wee	se A	Append	According to the formal of the	time	e slott		, /): [			-			(Yo		y sele	de p	Doubl buble	ic ho	blidatime
	a. No	ype (Pl No otifi	No.	e tick	Alert wheelf my option	rs ere apple / A/C ban (Select	icabl	ee) ee (AB otion day on ng Sati	L) only ly for	r. Def	fault	t is Cots)	unts  (	, ple Curr	ency ency - Wee	se A	Append ay only se slot slots)	According to the formal of the	time time // (e.g	e slotte		, /)∶ [ _] 1			-			(Yo		y sele		Doubl buble	ic ho	blidatime
	a. No	otification of the control of the co	of Categorian Categorian variation	on A	Alert wheeler my pation and ally Every	ere apple A/C ban (Select (Default is yday inc	icabl lance	ee) ee (AB botion day on ng Sata	L) only ly for	r. Def	fauli	t is C	unts  (  (  poption  poption	, ple Curr	ency - Wee Only re than	se A	Append ay only se slot slots)	for all Op Day	time time // (e.g	s slott B: W ; Mo  9a  D: M		, /):	1am	3	-		ipm (	(Yo Def OR (Yo	☐ III u may	y selection	ect mo	of n	ic ho	blidatime de sla

		ing Funds that equal or excee : (Default 5,000)		(INF)
		imount equal or exceeding th		
		(Default 5,000)		
	6. SWIFT gpi Alert. Not	tify me when the Telegraphic	Transfer is successfully	credited into the beneficiary account (CCA).
	c.Recipient details (To ad	Id more recipients, please use	e Appendix B)	
		il address to receive Email ale		
	User 1 Details			
	Name			
	Email Address			
	User 2 Details			
	Name			
	Email Address			П
	Ellidii Address			
	User 3 Details Name			
	Name			
	Email Address			
_				
		te this section if you would lik		
				ve all UOB Trade Services/ FSCM alerts as listed in Appendix C of this application form roducts at the point submission of this application.
		more recipients, please use all address to receive Email ale		
	User 1 Details	Trade Services Alerts	FSCM Alerts	(Please tick the type of alert you would like to receive)
	Name (underline surname)			
	Email Address			1
	User 2 Details	Trade Services Alerts	FSCM Alerts	(Please tick the type of alert you would like to receive)
	Name (underline surname)			
	Essell Address			
	Email Address			
	User 3 Details Name	Trade Services Alerts	FSCM Alerts	(Please tick the type of alert you would like to receive)
	(underline surname)			
	Email Address			_

## Declaration by Applicant

I/We\*, on behalf of the Applicant, hereby:

- The person(s) whose information appear in Part 1 to 3 above and/or any other letter(s) of instruction is/are authorised to perform and effect the above services opted by us:
- The information in Parts 1 to 3 is complete and accurate, and we shall immediately notify you in writing of any change therein;
- Default threshold and alerts under Appendix C are subject to change at UOB's discretion without prior notice; c)
- Approved Persons as per the Schedule of Approved Persons which is annexed to the Accounts & Services Resolution attached to the Account Opening Form / any two of the Authorised Signatories as per the standalone resolution for the use of eAlerts (or if there is only one Authorised Signatory, that Auhorised Signatory)\*, be hereby authorised to operate the relevant notification account(s) of the Company as listed in the application form.
- I/We shall be bound by the terms and conditions governing the UOB eAlerts! as amended and supplemented from time to time (available at uobgroup.com/hk/ ealerts).

Authorised Person(s)/Approved Person(s)								
Name	Signature	Name	Signature					
Date		Date						

Please send the completed form to Client Fulfillment & Service, United Overseas Bank Limited, 6/F, Lee Garden Two, 28 Yun Ping Road, Causeway Bay, Hong Kong.

Notes:

1. Monthly Subscription Charges:

Alert Service	Cash Alerts	Trade Alerts
Email	Waived	Waived

2.UOB eAlerts! is available via Email.

For Bank's Use Only

- 3.Trade Services/FSCM Alerts are for notification purposes only and do not indicate that the trade documents are ready for collection. All existing arrangements with the Bank applies.
- 4.Trade Services/FSCM Alerts are available Monday to Friday excluding Saturday, Sunday and Public Holidays.
- 5.Trade Services/FSCM Alerts will be sent by batches or per transaction basis. Reminders will be sent two days/five days prior to the due date respectively and overdue reminders will be sent on
  - next working day past due date. Transaction notification will be sent at 30 minutes interval between 7:00am to 8:30pm.
- 6.Frequency and time of notification received are indicative and subject to change. UOB reserves the right to change the frequency and time of notification without
- 7. Termination of UOB eAlerts require minimum 30 days prior written notice to the Bank.
- 8. The Bank will process your application within 5 business days.

For Bank's Use Only	*Please delete where app	licable		
Attended by: (TB Sales/RM/Branch/CF	S *)	Signature verified by:	Processed/Approved by:	
Name and Signature		Name and Signature	Name and Signature	
Date		Date	Date	

Remarks

Add Cash Alert Appendix A

## a.Notification Account

Account No.						Curr	ency			
-		-		_						
b.Type of Cash Aler	s							1		
✓ (Please tick wh	ere appli	cable)								
1. Notify me of m			BL)							
Notification can b	-			V. (Select	1 option	only):				
Option A: Daily		•			-	.,			☐ Option B: Weekly	
Day : Eve	yday inc	luding S	at/Sun	n/PH	☐ We	ekday	Only		Day (e.g Monday) :	│
Time : 🗌 9am	11am	n 🗌 3pm	n []6p	om (You Def	u may se ault opti	lect mor	re than 1 t des all tim	me slot slots)	Time: ☐ 9am ☐ 11am ☐ 3pm ☐ 6pm	(You may select more than 1 time slot Default option includes all time slots)
Option C: Fortr									Option D: Monthly	
Time : 🗌 9am	☐ 11an	n 🗌 3pm	ı <u> </u>	pm <sup>(Yo</sup>	u may se ault opti	elect moi	re than 1 t des all tim	me slot slots)	☐ Day (e.g 15): ( Time: ☐ 9am ☐ 11am ☐ 3pm ☐ 6pm	DR Last day of month only (You may select more than 1 time slot Default option includes all time slots)
2. Notify me whe	n my des 8,500 units	ignated s of the cur	notific rency of	cation a	ccount	t balan	ice falls	oelow	the threshold value (ABB)	
3. Notify me whe						t balan	ice is ab	ove th	e threshold value (ATA)	
4. ☐ Notify me of Ir	coming f	Funds th	ıat equ	ıal or ex	ceedir	ng thre	eshold a	nount	(INF)	
Threshold Amo										
5. Notify me of d	ebit amo	unt equ	al or e	xceedin	g thre	shold a	amount	(LDB)		
Threshold Amo	unt : (De	fault 5,000	)							
_									y credited into the beneficiary account (CCA).	
<b>User 1 Details</b> Name									I	
Email Address										
User 2 Details Name										
Traine										
Email Address										
User 3 Details										
Name										
Email Address										
orised Person(s)/A	prove	d Perso	n(s)							
			Si	gnature	2				Name	Signature
			1						1	I
									Date	1
			1 1						T.	1 1

Add Recipient(s) Appendix B

User 1 Details (Please	e tick where applicable)		
Cash Alerts Tra Notification Account (Only applicable Cash Alert)	de Services Alerts FSCM Alerts		
Account No.	Currency		
Name			
Name			
Email Address			
Linan Address			
User 2 Details (Please	e tick where applicable)		
☐ Cash Alerts ☐ Tra	de Services Alerts FSCM Alerts		
Notification Account (Only applicable Cash Alert)			
Account No.	Currency		
Name			
Name			
Email Address			
User 3 Details   ☑ (Please	e tick where applicable)		
☐ Cash Alerts ☐ Tra	de Services Alerts FSCM Alerts		
Notification Account (Only applicable Cash Alert)			
Account No.	Currency		
Name			
Email Address			
orised Person(s)/Approv	ed Person(s)		
	Signature	Name	Signature
	I		I
		Date	

Type of UOB Cash Alerts	
Account Balance	<ul> <li>Notification can be set as Daily/Weekly/Fortnightly/Monthly and up to four times (9am, 11am 3pm and/or 6pm) within a day.</li> </ul>
Account Balance Below Threshold	<ul> <li>Notify me when account balance falls below the threshold amount.</li> <li>The threshold for notification is customisable and if not specified, will be defaulted to 8,500 units of the account currency.</li> </ul>
Account Balance Above Threshold	<ul> <li>Notify me when account balance goes above the threshold amount.</li> <li>The threshold for notification is customisable and if not specified, will be defaulted to 100,000 units of the account currency.</li> </ul>
Incoming Funds	<ul> <li>Notify me of incoming funds to account in real-time.</li> <li>The threshold for notification is customisable and if not specified, will be defaulted to 5,000 units of the account currency.</li> </ul>
Debit Notification	<ul> <li>Notify me of funds debited from account in real-time.</li> <li>The threshold for notification is customisable and if not specified, will be defaulted to 5,000 units of the account currency.</li> </ul>
SWIFT gpi Alert	Notify me when the Telegraphic Transfer is successfully credited into the beneficiary account.
Type of UOB Trade Services Alert	s
Import Letter of Credit (LC)	Notify me when my import LC has been issued, amended & cancelled.
Inward Bills (LC and NON-LC)	<ul> <li>Notify me upon arrival of inward bills, both LC and non-LC.</li> <li>Send me a reminder 2 business days prior to bill payment due date.</li> <li>Notify me when my settlement instruction has been effected.</li> </ul>
Import Financing	<ul> <li>Notify me once my trade financing request is approved.</li> <li>Send me a reminder 2 business days prior to payment due date.</li> <li>Notify me when my payment is overdue.</li> <li>Notify me when my settlement instruction (LC and non-LC) has been effected.</li> <li>Notify me when there are changes to Periodic Interest Pricing and/ or Periodic Interest Payment</li> </ul>
Letter of Credit Advising	<ul> <li>Notify me when my export LC and Transfer LC are advised through UOB.</li> <li>Notify me when my SBLC are advised through UOB.</li> </ul>
Transfer Letter of Credit	Notify me when my Transfer LC has been issued, amended & cancelled.
Export Documentary Handling (LC & NON-LC)	Notify me when my documents have been despatched.  Notify me upon receipt of acceptance and confirmation of maturity date.
Outward Bills Collection	<ul><li>Notify me of unaccepted/ unpaid outstanding bills.</li><li>Notified me when my cancellation instruction has been processed.</li></ul>
Export Proceeds (LC & NON-LC)	<ul> <li>Notify me once export proceeds (LC and Non-LC) have been credited.</li> <li>Notify me when there are changes to Periodic Interest Pricing and/ or Periodic Interest Payment</li> </ul>
Export Trade Financing	<ul> <li>Notify me once my trade financing request is approved.</li> <li>Send me a reminder 2 business days prior to payment due date.</li> <li>Notify me when my settlement instruction has been effected.</li> <li>Notify me when my payment is overdue.</li> <li>Notify me when there are changes to Periodic Interest Pricing and/ or Periodic Interest Payment</li> </ul>
Shipping Guarantee	<ul> <li>Notify me when my Shipping Guarantee has been issued.</li> <li>Notify me 2 months from issuance date and subsequently every 3 months if my Shipping Guarantee has not been redeemed.</li> </ul>
Banker Guarantee	<ul> <li>Notify me when my Banker's Guarantee has been issued &amp; amended.</li> <li>Notify me when there is a claim on my Banker's Guarantee.</li> </ul>
Standby Letter of Credit	Notify me when my Standby Letter of Credit has been issued, amended & cancelled.

A	America I.I. s. st	Note to be a few and the
Account Receivable Purchase without Recourse	Applicable to the Seller	<ul> <li>Notify me when a Buyer is successfully created in the programme</li> <li>Notify me when my upload of Invoice / Debit Note / Credit Note has been successful</li> <li>Notify me when a Buyer accepts an invoice</li> <li>Notify me once my receivable purchase request has been approved by the bank</li> <li>Notify me once my ARP transaction has been successfully converted to Distributor/Dealer Finance</li> </ul>
Account Receivable Purchase with Recourse	Applicable to the Seller	Notify me when a Buyer is successfully created in the programme Notify me when my upload of Invoice / Debit Note / Credit Note has been successful Notify me once my receivable purchase request has been approved by the bank Send me a reminder 5 business days prior to Invoice Maturity date Notify me when a payment is successfully received from one of my buyers Notify me once my ARP transaction has been successfully converted to Distributor/Dealer Finance
Distributor Finance (ARP)	Applicable to the Seller	Notify me when a Buyer is successfully created in the programme     Notify me when my upload of Invoice / Debit Note / Credit Note has been successful     Notify me when a Buyer accepts an invoice     Notify me once my receivable purchase request has been approved by the bank     Notify me once my ARP transaction has been successfully converted to Distributor/Dealer Finance
	Applicable to the Buyer	<ul> <li>Send me a reminder 5 business days prior to Invoice Maturity date</li> <li>Notify me when a payment is successfully received</li> </ul>
Early Payment Discounting	Applicable to the Buyer	<ul> <li>Notify me when a Seller is successfully created in the programme</li> <li>Notify me when my upload of Invoice / Debit Note / Credit Note has been successful</li> <li>Notify me when my finance request has been approved by the bank</li> <li>Notify me when my loan extension request has been approved by the bank</li> <li>Send me a reminder 5 business days prior to my loan due date</li> <li>Notify me when my loan is overdue</li> <li>Notify me when my auto debit for my outstanding loan is unsuccessful</li> <li>Notify me when my loan has been settled</li> </ul>
Distributor Finance (Post shipment )	Applicable to the Seller	Notify me when a Buyer is successfully created in the programme
	Applicable to the Buyer	Notify me when my upload of invoice has been successful Notify me when there is an invoice pending my acceptance Notify me when the Seller has amended an invoice Notify me when my finance request has been approved by the bank Notify me when my extension request has been approved by the bank Send me a reminder 5 business days prior to my loan due date Notify me when my auto debit for my outstanding loan is unsuccessful Notify me when my loan is overdue Notify me when my loan has been settled Notify me when my post shipment financing has been used to settle an outstanding pre shipment payment
Distributor Finance (Advanced Payment)	Applicable to the Seller	Notify me when my Buyer has amended the PO
	Applicable to the Buyer	Notify me when my PO has been successfully uploaded     Notify me when my Seller has accepted the PO     Notify me when my Buyer has amended the PO     Notify me when my finance request has been approved by the bank     Notify me when my extension request has been approved by the bank     Send me a reminder 5 business days prior to my loan due date     Notify me when my auto debit for my outstanding loan is unsuccessful     Notify me when my loan is overdue     Notify me when my loan has been settled     Notify me when my post shipment financing has been used to settle an outstanding pre shipment payment

Supplier Finance (Post Shipment)	Applicable to the Buyer	Notify me when a Seller is successfully created in the programme
without Recourse	Applicable to the Seller	Notify me when my upload of Invoice / Debit Note / Credit Note has been successful Notify me when my invoice has been accepted by my Buyer Notify me when my invoice is pending acceptance from my buyer Notify me when my finance request has been approved by the bank Notify me when my invoice details have been amended
Supplier Finance (Post Shipment)	Applicable to the Buyer	Notify me when a Seller is successfully created in the programme
with Recourse	Applicable to the Seller	Notify me when my upload of Invoice / Debit Note / Credit Note has been successful Notify me when my invoice has been accepted by my Buyer Notify me when my invoice is pending acceptance from my buyer Notify me when my finance request has been approved by the bank Notify me when my invoice details have been amended Send me a reminder 5 business days prior to my loan due date Notify me when my loan is overdue Notify me when my auto debit for my outstanding loan is unsuccessful Notify me when a payment has been received from the buyer for my financed invoices
Supplier Finance Irrevocable Payment Undertaking	Applicable to the Buyer	Notify me when a Seller is successfully created in the programme     Notify me when my upload of Invoice / Debit Note / Credit Note has been successful     Notify me when my invoice details have been amended
	Applicable to the Seller	Notify me when my upload of Invoice / Debit Note / Credit Note has been successful     Notify me when my invoice has been accepted by my Buyer     Notify me when my invoice is pending acceptance from my buyer     Notify me when my finance request has been approved by the bank     Notify me when my invoice details have been amended
Supplier Finance (Pre Shipment)	Applicable to the Buyer	Notify me when a Seller is successfully created in the programme
	Applicable to the Seller	Notify me when my upload of PO has been successful Notify me when my PO has been amended by my Buyer Notify me when my finance request has been approved by the bank Notify me when my extension request has been approved by the bank Notify me when my loan has been settled Send me a reminder 5 business days prior to my loan due date Notify me when my auto debit for my outstanding loan is unsuccessful Notify me when my loan is overdue Notify me when my pre shipment financing has been converted to post shipment financing
Silent Payment Guarantee	Applicable to the Seller	Notify me when a Buyer is successfully created in the programme Notify me when my upload of Invoice / Debit Note / Credit Note has been successful Notify me once my receivable purchase request has been approved by the bank

Type of UOB FSCM Alert:	5	
Import Finance – Invoice Finance	Applicable to the Buyer	<ul> <li>Notify me when my upload of Invoice has been successful</li> <li>Notify me when my transaction has exceeded limit and pending approval</li> <li>Notify me when my finance request has been approved or rejected by the bank</li> <li>Notify me when my transaction interest has been repriced</li> <li>Notify me when my extension request has been approved by the bank</li> <li>Send me a reminder 5 business days prior to my loan due date</li> <li>Notify me when my loan has been settled</li> <li>Notify me when my auto debit for my outstanding loan is unsuccessful</li> <li>Notify me when my loan is overdue</li> <li>Notify me when my post shipment financing has been used to settle an outstanding pre shipment payment</li> </ul>
Import Finance – Advance Payment Financing	Applicable to the Buyer	<ul> <li>Notify me when my PO/Sales contract has been successfully uploaded</li> <li>Notify me when my transaction has exceeded limit and pending approval</li> <li>Notify me when my finance request has been approved or rejected by the bank</li> <li>Notify me when my loan has been amended</li> <li>Notify me when my extension request has been approved by the bank</li> <li>Send me a reminder 5 business days prior to my loan due date</li> <li>Notify me when my loan has been settled</li> <li>Notify me when my auto debit for my outstanding loan is unsuccessful</li> <li>Notify me when my loan is overdue</li> </ul>
Export Finance – Invoice Finance	Applicable to the Seller	<ul> <li>Notify me when my upload of Invoice / Debit Note / Credit Note has been successful</li> <li>Notify me when my transaction has exceeded limit and pending approval</li> <li>Notify me when my finance request has been approved or rejected by the bank</li> <li>Notify me when my transaction interest has been repriced</li> <li>Send me a reminder 5 business days prior to my loan due date</li> <li>Notify me when my auto debit for my outstanding loan is unsuccessful</li> <li>Notify me when my loan is overdue</li> <li>Notify me when my loan has been settled</li> </ul>
Export Finance – Purchase Order Financing	Applicable to the Seller	Notify me when my upload of PO has been successful     Notify me when my transaction has exceeded limit and pending approval     Notify me when my finance request has been approved by the bank     Notify me when my extension request has been approved by the bank     Notify me when my loan has been amended     Send me a reminder 5 business days prior to my loan due date     Notify me when my auto debit for my outstanding loan is unsuccessful     Notify me when my loan is overdue     Notify me when my loan has been settled     Notify me when my post shipment financing has been used to settle an outstanding pre shipment payment