



## **Policy on the Handling of Specific Personal Information**

### **United Overseas Bank Limited Tokyo Branch**

UOB Tokyo Branch (hereinafter called “the Branch”) sets out the Basic Policy on the Handling of Specific Personal Information to implement appropriate handling of Specific Personal Information including the Individual Number.

Note: “Specific Personal Information” and “Individual Number” mean “Specific Personal Information” and “Individual Number” as provided in the Act on the Use of Numbers to Identify a Specific Individual in the Administrative Procedure (hereinafter called “the Number Act”) respectively, and are known as “Personal Information including My Number” and “My Number” in public.

#### **1. Name of Business Operator**

United Overseas Bank Limited, Tokyo Branch

#### **2. Compliance with Relevant Acts etc.**

The Branch is committed to appropriate implementation of the following laws and regulations: the Number Act, Act on the Protection of Personal Information, Enforcement Order of the Number Act, Enforcement Ordinance of the Number Act, Guidelines regarding the Appropriate Handling of Specific Personal Information (For Business Operators) and [Supplement] Guidelines regarding the Appropriate Handling of Specific Personal Information in Financial Business.

#### **3. Safety Control Measures**

The Branch will implement Systematic, Human, Physical and Technical Security Control Measures in line with the Procedures on the Protection of Specific Personal Information prescribed separately to adhere to Article 12 of the Number Act and other relevant regulations.

#### **4. Contact Point for Questions or Complaints**

For questions about the handling of Specific Personal Information by the Branch, please contact:

United Overseas Bank Limited, Tokyo Branch  
Tel: 03-3596-7200 (from 9:00 to 17:00)

## **On the Use of Individual Numbers**

### **1. On the Use of Individual Numbers**

The Branch shall notify, publicly disclose, and clearly set out the purposes of obtaining Individual Numbers when collecting them from customers and utilize them within the scope required to achieve the purposes of use listed below.

#### **Purposes of Use**

- Development of statutory reports regarding transactions of financial instruments
- Development of statutory reports regarding transactions of domestic or international funds transfer
- Development of statutory reports regarding remuneration or fees
- Development of application forms for income tax convention
- Development of documents regarding social insurance etc. for the Branch directors and employees
- Operation of numbering deposit accounts (\*1)
- Operations similar to the above

(\*1) This revision (addition) is effective on January 1, 2018

### **2. On the Provision of Individual Numbers to Third Parties**

The Branch shall not provide or disclose Individual Numbers and personal information including Individual Numbers to any third parties unless otherwise permitted by laws and regulations.